# Characterizing Amyloid-Positive Individuals With Normal Tau PET Levels After 5 Years

An ADNI Study

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# Abstract

# **Background and Objective**

Individuals with biomarker evidence of  $\beta$ -amyloid (A $\beta$ ) deposition are increasingly being enrolled in clinical treatment trials but there is a need to identify markers to predict which of these individuals will also develop tau deposition. We aimed to determine whether A $\beta$ -positive individuals can remain tau-negative for at least 5 years and identify characteristics that could distinguish between these individuals and those who develop high tau within this period.

## Methods

Tau PET positivity was defined using a Gaussian mixture model with log-transformed standard uptake value ratio values from 7 temporal and medial parietal regions using all participants in the Alzheimer's Disease Neuroimaging Initiative (ADNI) with flortaucipir PET. Tau PET scans were classified as normal if the posterior probability of elevated tau was less than 1%. A $\beta$  PET positivity was defined based on ADNI cutpoints. We identified all A $\beta$ -positive individuals from ADNI who had normal tau PET more than 5 years after their first abnormal A $\beta$  PET (amyloid with low tau [ALT] group) and all A $\beta$ -positive individuals with abnormal tau PET within 5 years (biomarker AD). In a case–control design, logistic regression was used to model the odds of biomarker AD vs ALT accounting for sex, age, APOE  $\varepsilon$ 4 carriership, A $\beta$  Centiloid, and hippocampal volume.

## Results

We identified 45 individuals meeting criteria for ALT and 157 meeting criteria for biomarker AD. The ALT group had a lower proportion of *APOE*  $\varepsilon$ 4 carriers, lower A $\beta$  Centiloid, larger hippocampal volumes, and more preserved cognition, and were less likely to develop dementia, than the biomarker AD group. *APOE*  $\varepsilon$ 4, higher A $\beta$  Centiloid, and hippocampal atrophy were independently associated with increased odds of abnormal tau within 5 years. A Centiloid value of 50 effectively discriminated biomarker AD and ALT with 80% sensitivity and specificity. The majority of the ALT participants did not develop dementia throughout the 5-year interval.

# Discussion

A $\beta$ -positive individuals can remain tau-negative for at least 5 years. Baseline characteristics can help identify these ALT individuals who are less likely to develop dementia. Conservative A $\beta$  cutpoints should be utilized for clinical trials to better capture individuals with high risk of developing biomarker AD.

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# Glossary

 $A\beta = \beta$ -amyloid; AD = Alzheimer disease; ADAS-Cog = Alzheimer's Disease Assessment Scale–cognitive subscale; ADNI = Alzheimer's Disease Neuroimaging Initiative; ALT = amyloid+ with low tau; AUC = area under the receiver operating characteristic curve; BIC = Bayesian information criterion; IQR = interquartile range; MCI = mild cognitive impairment; MMSE = Mini-Mental State Examination; OR = odds ratio; ROI = region of interest; SUVR = standardized uptake value ratio.

The National Institute on Aging-Alzheimer's Association<sup>1</sup> and the International Working Group $-2^2$  criteria both support biomarker-defined criteria for Alzheimer disease (AD). A diagnosis of AD is supported by biomarker evidence of both  $\beta$ -amyloid (A $\beta$ ) and tau accumulation with the proviso that early on, A $\beta$ , but not tau, may be detected. In such instances of A $\beta$  without tau detection, the assumption is that tau accumulation will develop if the individual stays alive long enough; such cases are considered to have Alzheimer pathologic change.<sup>1</sup> Given the importance of identifying and eventually treating patients as early as possible in the disease process, there has been a drive towards clinical trials enrolling cognitively normal individuals based only on positive AB PET status.<sup>3</sup> While this idea is certainly reasonable, such an approach runs the risk of enrolling individuals who are many years away from developing tau or dementia. In addition, individuals with  $A\beta$  accumulation and cognitive impairment are now eligible for treatment with aducanumab.<sup>4</sup> Yet autopsy studies have shown that some individuals with  $A\beta$  senile plaques die with minimal or absent tau neurofibrillary tangles,<sup>5,6</sup> meeting neuropathologic criteria for low probability of AD.<sup>7,8</sup> Hence, there is a need to identify characteristics/biomarkers that can help predict which Aβpositive individuals have a low likelihood of developing tau deposition over a reasonable period for clinical trial study design or for exclusion criteria.

In this study, we use the Alzheimer's Disease Neuroimaging Initiative (ADNI) database, a multicenter neuroimaging study consisting of participants with the full range of cognitive status who have had molecular PET imaging to detect A $\beta$  and paired helical filament tau in vivo. Using ADNI data allows us to investigate the relationship between A $\beta$  and tau over time. We were specifically interested in identifying and characterizing participants with a positive A $\beta$  status according to accepted criteria who had little evidence of tau accumulation 5 years later. We refer to such individuals who were A $\beta$ -positive with low tau more than 5 years later as amyloid+ with low tau (ALT). We hypothesized a priori that ALT existed and set out to investigate whether there would be characteristics that could distinguish between ALT and A $\beta$ -positive individuals who develop high tau over time.

# Methods

# **Participants**

All individuals for the study were identified from the ADNI database<sup>9</sup> based on data downloaded on October 28, 2020.

ADNI was launched in 2003 as a public–private partnership, led by Principal Investigator Michael W. Weiner, MD. The primary goal of ADNI has been to test whether serial MRI, PET, other biological markers, and clinical and neuropsychological assessment can be combined to measure the progression of mild cognitive impairment (MCI) and early AD.

# Standard Protocol Approvals, Registrations, and Patient Consents

The study was approved by the institutional review board at each of the participating ADNI centers and written informed consent was obtained for all participants (ClinicalTrials.gov registry numbers: ADNI GO: NCT01078636; ADNI 2: NCT0123197; ADNI 3: NCT02854033).

## **Defining Tau PET Positivity**

We identified all individuals from ADNI (ADNI 2, ADNI 3, ADNI GO) who had a flortaucipir PET scan regardless of A $\beta$  status. The ADNI acquisition protocol for flortaucipir is available online.<sup>9</sup> This dataset was used as a training dataset to define tau-negative and tau-positive scans using Gaussian mixture models. Flortaucipir standardized uptake value ratios (SUVRs) from 7 regions of interest (ROIs) (entorhinal cortex, inferior temporal gyrus, parahippocampal gyrus, fusiform gyrus, middle temporal gyrus, isthmus cingulate, and precuneus) were analyzed. These ROIs were selected to capture regions that show elevated flortaucipir early in AD and preclinical AD.<sup>10-13</sup> Each flortaucipir scan was coregistered to MRI and FreeSurfer-defined ROIs were calculated based on mean uptake over 75–105 minutes postinjection normalized by a mean inferior cerebellar gray matter uptake.<sup>14,15</sup>

Fitting a univariate Gaussian mixture model to a single biomarker is a common approach to identifying normal and abnormal subgroups with an established record in CSF biomarkers.<sup>16</sup> More recently, a 2D Gaussian mixture model was used to cluster individuals according to both CSF A $\beta$ 42 and ptau.<sup>17</sup> Our approach in the current analysis is conceptually the same except instead of fitting a mixture model using 1 or 2 continuous measures, we fit a model using log-transformed SUVRs from 7 regions. This mixture model can be interpreted as describing the 7D space of log-transformed tau PET SUVRs as consisting of 2 or more 7D point clouds, with each cloud modeled as multivariate normal (i.e., each with 7 means, 7 variances, and 21 covariances).

One advantage of this parametric approach to clustering is that it provides an explicit posterior probability that a

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particular set of regional SUVR values is in each group.<sup>18</sup> A classification of abnormal in a mixture model context is usually based on a posterior probability cutoff of >0.5. That is, if a value is more likely than not to be from the abnormal distribution, it is considered abnormal. For this analysis, we wanted to be much more conservative in defining a normal tau PET scan and much more liberal in defining an abnormal tau PET scan. Therefore, we classified tau PET scans as normal if the posterior probability of elevated tau was less than 1%. With this cutoff, only tau PET scans well outside the elevated tau distribution were considered normal.

We used the R language and environment for statistical computing for all analyses and the mclust package for mixture modeling.

The Bayesian information criterion (BIC) was used to determine the optimum number of clusters. As a sensitivity analysis to evaluate the influence of individual regions, we refit the mixture model 7 times, leaving 1 region out at a time. We then used kappa statistics to compare the agreement between the full model and each 6-region model in terms of classification of each individual.

### Identifying ALT Individuals

We identified all individuals in ADNI GO, ADNI2, or ADNI3 who were A $\beta$ -positive on PET (either with florbetapir [<sup>18</sup>F-AV-45] or [<sup>18</sup>F]florbetaben). The ADNI acquisition protocols for florbetapir and florbetaben are available online.<sup>9</sup> Global composite SUVRs were downloaded from the ADNI dataset and A $\beta$  PET values were converted to the Centiloid scale using methods described on the ADNI website. The ADNI processing pipeline includes coregistering the A $\beta$  PET scans to the structural MRI, FreeSurfer (V5.3.0) was used to generate regional data, and the global composite SUVRs were calculated by referencing cortical uptake to the whole cerebellum.<sup>15,19</sup> A $\beta$  PET positivity was defined based on the ADNI cutpoints for florbetapir (>1.11) and florbetaben (>1.08),<sup>9</sup> which map onto a Centiloid cutpoint of 23.

We then identified all individuals who had undergone flortaucipir PET. Because of the relatively recent introduction of tau PET, extensive prospective follow-up is limited. Still, because many ADNI participants with A $\beta$  PET have extensive follow-up, we were able to determine tau status at the 5-year mark for a subset of individuals. Setting time zero as an ADNI participant's first abnormal A $\beta$  PET, individuals who had no abnormal tau PET scan within 5 years and a normal tau PET scan after 5 years were classified as ALT. As a comparison group, we identified all individuals who had an abnormal tau PET scan—that is, a posterior probability  $\geq 1\%$ —within 5 years (biomarker AD group). Note that individuals with an indeterminate tau status at 5 years are not included in our analysis. For example, someone having an abnormal tau PET at 7 years cannot be assumed to have qualified as biomarker AD at 5 years.

The classification of individuals is depicted graphically in Figure 1 for 3 ALT scenarios, 3 biomarker AD scenarios, and 3 indeterminate scenarios. In the ALT scenarios, a normal tau PET scan at least 5 years after the first abnormal A $\beta$  PET implies normal tau PET levels at the 5-year mark. For the biomarker AD scenarios, an abnormal tau PET scan within 5 years of the first abnormal A $\beta$  PET implies elevated tau PET at or before the 5-year mark. The indeterminate scenarios result from either insufficient follow-up or from ambiguity as to the individual's status at the 5-year mark.

### **Statistical Analysis**

We used  $\chi^2$  and Wilcoxon rank-sum tests to compare the ALT and biomarker AD groups at the time of their first abnormal  $A\beta$ PET scan in univariate analysis. We used logistic regression to model the odds of biomarker AD vs ALT accounting for sex, age, APOE ɛ4 carriership, amyloid Centiloid, FreeSurfer-based hippocampal volume, and total intracranial volume. Age, Centiloid, and volume measurements were from the time of the first abnormal AB PET. To understand the relationship between age, amyloid Centiloid, and ALT vs biomarker AD, we used quantile regression. This allowed us to model Centiloid values by age in a way that was robust to a few high Centiloid values. We performed an area under the receiver operating characteristic curve (AUC) analysis of Centiloids to identify a cutpoint that maximized sensitivity and specificity. We were also interested in further understanding the ALT group and compared individuals with higher (>36) vs lower ( $\leq$ 36) Centiloid values split at the median Centiloid of 36.

## **Data Availability**

Qualified researchers may obtain access to all de-identified ADNI data from the ADNI website.<sup>9</sup>

# Results

## Defining Tau Positivity in the Training Dataset

The training dataset consisted of 774 individuals who had undergone flortaucipir PET in ADNI (Figure 2). The characteristics of these individuals are shown in eTable 1 (links. lww.com/WNL/B897). The BIC from the univariate Gaussian mixture model improved when increasing from 1 cluster to 2 clusters (one cluster, -13,812; 2 clusters, -15,940), but did not improve for additional clusters (3 clusters, -15,899; 4 clusters, -15,748; 5 clusters -15,607), and so 2 clusters was found to be optimal. In the sensitivity analysis, kappa values ranged from  $\kappa = 0.88$  with a model omitting the fusiform to  $\kappa = 0.97$  with a model omitting the parahippocampal gyrus, indicating a robustness of the clustering and that no region was particularly influential. Figure 3 shows the distribution of posterior probabilities of abnormal tau PET among 774 individuals in the training dataset. The large number of individuals with posterior probabilities <1% or >99% indicates very good separation between the clusters.

## Baseline Characteristics of the ALT and Biomarker AD Cases

A flowchart depicting the identification of the ALT and biomarker AD groups is shown in Figure 2. From a total of 857

individuals with positive Aß PET, 361 had undergone flortaucipir PET. From these, 45 individuals were identified who met criteria for the ALT group, while 157 met the criteria for biomarker AD by 5 years. The median time from first positive Aβ PET to tau PET in the ALT group was 7.1 years (range 5.0-8.6 years). The median Centiloid at the time of first positive A $\beta$  PET was significantly lower in the ALT group (36; interquartile range [IQR] 29–44) compared to the biomarker AD group (83; IQR 58–109) (Figure 4 and Table 1), and Centiloid tended to increase with age in the ALT group but decrease with age in the biomarker AD group (Figure 4). The ALT group had a lower proportion of APOE E4 carriers compared to biomarker AD (31% vs 67%; p < 0.001) and was significantly less likely to have a dementia diagnosis at first Aß PET scan compared to the biomarker AD group (2% vs 22%; p < 0.001). The majority (58%) of individuals in the ALT group were cognitively normal at the time of first positive  $A\beta$  PET, with 40% having a diagnosis of MCI. In keeping with the spectrum of clinical diagnoses, the ALT group also performed better on the Mini-Mental State Examination (MMSE) and Alzheimer's Disease Assessment Scale–cognitive subscale (ADAS-Cog) tests and had more preserved hippocampal volumes, compared with biomarker AD (Table 1). There were no clear differences identified between the ALT and biomarker AD groups in age at baseline, sex, education, or vascular measures (Table 1).

Among the subset of 72 individuals who were cognitively normal at baseline, 36% were in the ALT group and 64% in the biomarker AD group (Table 1). As with the full sample, the ALT group had a lower proportion of *APOE*  $\varepsilon$ 4 carriers (27% vs 56%; *p* = 0.04), lower amyloid levels (median Centiloid 36 vs 72; *p* < 0.001), and better cognitive performance on the ADAS-Cog (median 5 vs 7; *p* = 0.006).





Each row in the plot represents a prototypical scenario. The origin on the horizontal axis is the time of the individual's first abnormal amyloid PET scan. The symbol T– denotes a normal tau PET scan while T+ denotes an abnormal tau PET scan. The amyloid+ with low tau (ALT) and biomarker Alzheimer disease (AD) scenarios show how the individual's tau status at the 5-year mark can be inferred. The indeterminant scenarios show how the data do not allow the individual's status at 5 years to be inferred. A $\beta$  =  $\beta$ -amyloid.

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Figure 2 Flowchart Illustrating the Selection of the Training Dataset and the ALT and Biomarker AD Groups



 $A\beta = \beta$ -amyloid; AD = Alzheimer disease; <math>ADNI = Alzheimer's Disease Neuroimaging Initiative; ALT = amyloid+ with low tau.

The logistic regression model showed that APOE E4 status was associated with a 3-fold increase in the odds (odds ratio [OR] 3.0, 95% CI 1.2–7.5; *p* = 0.02) of being biomarker AD (i.e., having elevated tau within 5 years from baseline A $\beta$  PET) (Table 2). A 10-unit higher Centiloid was associated with a 70% increase in the odds of biomarker AD (OR 1.7, 95% CI 1.4–2.1; p < 0.001) and a 0.5 mL smaller hippocampus was independently associated with a 50% increase in the odds of biomarker AD (OR 1.5, 95% CI 1.2–2.1; *p* = 0.002). Amyloid Centiloid discriminated between the 2 groups with an AUC of 0.85 (95% CI 0.77-0.90) and a Centiloid value of 50 effectively discriminates between biomarker AD and ALT with both 80% sensitivity and 80% specificity. Among the subset of participants who were cognitively normal at baseline, OR effect sizes appeared similar but with wider CIs. For example, a 10-unit higher level of Centiloid was associated with an OR of 2.7 (95% CI 1.6–5.5; *p* = 0.001) and *APOE* ε4 carriers also appeared to have an elevated odds of biomarker AD (OR 2.5, 95% CI 0.7–9.2; *p* = 0.14).

Dividing the ALT group according to the median Centiloid value of 36, those above 36 (n = 23) tended to be older (median 75 vs 71 years) and have a much higher proportion of *APOE*  $\varepsilon$ 4 carriers (43% vs 18%). The distribution of clinical diagnoses, sex, and education was similar between the 2 groups.

## Longitudinal Characteristics of the ALT Individuals

Figure 5 displays all available longitudinal clinical diagnosis information and tau PET for each ALT individual. The clinical diagnosis recorded at the first A $\beta$  PET remained stable or reverted to a more normal state (i.e., MCI reverting to cognitively normal) in the majority of cases (34/45 [76%]). Of the remaining 11 cases, 7 converted to a dementia diagnosis over time and one obtained a dementia diagnosis before reverting to MCI. Of the 9 ALT individuals who received a dementia diagnosis during follow-up, 8 were considered to have dementia due to AD and 1 was determined to have encephalitis. Only 1 individual who obtained a diagnosis of dementia was an *APOE*  $\varepsilon$ 4 carrier. There were 23 individuals (51%) in the ALT group with more than 1 tau PET scan after 5 years (Figure 5). With only one exception, these individuals continued to have a very low posterior probability of elevated tau.

# Discussion

Our findings demonstrate that a relatively large group of individuals who are A $\beta$ -positive based on generally accepted criteria have subthreshold/very low levels of tau on tau PET for at least 5 years after their first A $\beta$ -positive PET scan. Previous studies have reported on the existence of A $\beta$ -



Figure 3 Histogram of Posterior Probability of Abnormal Tau PET in the Training Dataset

The distribution of the posterior probability of abnormal tau PET in the tau PET training dataset (n = 774) is summarized with a histogram. The first bin in the histogram tabulates cases with a posterior probability <0.01. The last bin tabulates cases with a posterior probability >0.99.

positive, tau-negative individuals,<sup>20,21</sup> but this study examines individuals who remain tau PET-negative for at least 5 years. We show that these ALT individuals are less likely to have AD-related clinical, neuroimaging, and genetic features compared to biomarker AD individuals and that the majority do not develop dementia over at least 5 years of follow-up.

The ALT individuals were less likely to have dementia at the baseline A $\beta$  PET compared with A $\beta$ -positive individuals who became tau-positive within 5 years (biomarker AD) and performed better on tests of general cognitive function. Their

hippocampal volumes were also more preserved. These results are perhaps unsurprising given the observed close relationship among tau deposition, neurodegeneration, and subsequent clinical decline in AD,<sup>22-26</sup> and the lack of tau deposition in this cohort. These findings concord with previous studies that have found greater neurodegeneration in Aβ- and tau-positive individuals compared with Aβ-positive but tau-negative individuals.<sup>23,27</sup> However, another striking feature of the ALT group was the low frequency of the APOE ε4 allele, which was only observed in 31% of these individuals. This is significantly lower than the APOE  $\varepsilon$ 4 frequency observed in the biomarker AD group, is lower than the frequency typically observed in autopsy-confirmed AD,<sup>26</sup> and is more in line with the frequency that has been observed in cognitively normal cohorts.<sup>28</sup> This relatively low frequency of APOE £4 suggests that these individuals do not have a high risk of developing AD. In fact, APOE ɛ4 status was associated with a 3-fold increase in the odds of developing tau deposition within 5 years from first positive AB PET. Previous studies have observed APOE  $\varepsilon$ 4 frequencies of 49%<sup>20</sup> and 43%<sup>21</sup> in Aβ-positive, tau-negative cohorts, but those cohorts were not followed longitudinally and it is likely that many individuals did develop high tau within 5 years. APOE ɛ4 allele has been associated with higher rates of tau accumulation in cognitively impaired, but not cognitively unimpaired, cohorts.<sup>28</sup>

The ALT group had lower median Centiloid compared with the biomarker AD group, with a 10-unit increase in Centiloid associated with a 1.7-fold increase in the odds of developing tau deposition over 5 years from first positive A $\beta$  PET. This fits with a previous study that found that higher baseline A $\beta$ PET was associated with a higher rate of subsequent tau accumulation in cognitively normal and abnormal cohorts.<sup>28</sup> Within the ALT group, some individuals started with a higher than median Centiloid of more than 36 units and these

#### Figure 4 Centiloid at First Abnormal Amyloid PET Scan Vs Age



The trend line and 95% CI represent the estimated median Centiloid by age based on quantile regression. This model is used because the Centiloid values in the amyloid+ with low tau (ALT) group are positively skewed. A $\beta$  =  $\beta$ -amyloid; AD = Alzheimer disease.

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	All			Cognitively normal subset			
Characteristic	ALT (n = 45)	Biomarker AD (n = 157)	p Value	ALT (n = 26)	Biomarker AD (n = 46)	p Value	
Age, y	72 (69–77)	75 (69–78)	0.62	73 (71–80)	75 (70–78)	0.98	
Range	62-84	56-92		67-84	60-89		
Sex			0.47			0.53	
Female	21 (47)	85 (54)		16 (62)	33 (72)		
Male	24 (53)	72 (46)		10 (38)	13 (28)		
Education			0.51			0.21	
High school or less	4 (9)	16 (10)		1 (4)	1 (2)		
Some college	15 (33)	34 (22)		10 (38)	9 (20)		
College graduate	26 (58)	107 (68)		15 (58)	36 (78)		
Diagnosis <sup>a</sup>			<0.001			_	
CN	26 (58)	46 (29)		26 (100)	46 (100)		
MCI	18 (40)	75 (48)		_	-		
Dementia	1 (2)	35 (22)		_	_		
APOE ε4 <sup>b</sup>			<0.001			0.04	
Noncarrier	31 (69)	43 (33)		19 (73)	20 (44)		
Carrier	14 (31)	88 (67)		7 (27)	25 (56)		
APOE genotype <sup>b</sup>			_			_	
ε2/ε2	0	0		0	0		
ε2/ε3	3 (7)	2 (2)		3 (12)	1 (2)		
ε2/ε4	0	2 (2)		0	1 (2)		
ε3/ε3	28 (62)	41 (31)		16 (62)	19 (42)		
ε3/ε4	13 (29)	61 (47)		7 (27)	20 (44)		
ε4/ε4	1 (2)	25 (19)		0	4 (9)		
MMSE	29 (28–30)	28 (25–29)	<0.001	30 (29–30)	29 (28–30)	0.12	
Range	18-30	17-30		27-30	26-30		
ADAS-Cog	6 (4–9)	13 (8–17)	<0.001	5 (4–7)	7 (6-9)	0.006	
Range	2-33	1–36		2-11	1–17		
Centiloid	36 (29–44)	83 (58–109)	<0.001	36 (30-44)	72 (46–91)	<0.001	
Range	23-93	21-156		23-86	23-145		
Hippocampal volume, mL	7.9 (7.2–8.5)	7.1 (6.1–7.5)	<0.001	7.9 (7.0-8.4)	7.5 (7.2–7.8)	0.29	
Range	5.4-10.3	3.5–9.5		5.4-9.5	5.3-9.4		
White matter hyperintensity volume, mL	4.5 (2.1–7.3)	3.6 (1.6–7.6)	0.58	4.5 (3.1-6.3)	2.7 (1.4–6.5)	0.10	
Range	0.1-58.0	0.0-118.5		0.1–56.0	0.2-39.9		
MRI infarction			>0.99			0.59	
None	27 (87)	107 (87)		12 (80)	36 (90)		
One or more	4 (13)	16 (13)		3 (20)	4 (10)		

# Table 1Demographic, Imaging, and Clinical Features of the ALT and Biomarker AD Groups Overall and Among the<br/>Subset That Was Cognitively Normal at Baseline

Abbreviations: AD = Alzheimer disease; ADAS-Cog = Alzheimer's Disease Assessment Scale–cognitive subscale; ALT = amyloid+ with low tau; CN = cognitively normal; MCI = mild cognitive impairment; MMSE = Mini-Mental State Examination. Values are median (interquartile range) or n (%).

<sup>a</sup> A diagnosis was not provided for 1 individual in the biomarker AD group. This participant had a Clinical Dementia Rating (CDR) global score of 0, a CDR Sum of Boxes score of 0, and an MMSE score of 27.

<sup>b</sup> 26 individuals in the Biomarker AD group did not have APOE genotype data available. They tended to be more recently enrolled participants.

 Table 2
 Results From Logistic Regression Model Showing Odds of Elevated Tau Within 5 Years From Baseline β-Amyloid

 PET Overall and Among the Subset That Was Cognitively Normal at Baseline

	All		Cognitively normal		
Covariate	Odds ratio (95% Cl)	p Value	Odds ratio (95% Cl)	p Value	
Female sex	1.4 (0.5–4.3)	0.56	1.5 (0.3–7.4)	0.62	
Age, 10 years older	1.2 (0.6–2.5)	0.68	1.0 (0.3–3.5)	>0.99	
APOE ε4+ vs ε4-	3.0 (1.2–7.5)	0.02	2.5 (0.7–9.2)	0.14	
Centiloid, 10 units higher	1.7 (1.4–2.1)	<0.001	2.7 (1.6–5.5)	0.001	
Hippocampal volume, 0.5 mL smaller	1.5 (1.2–2.1)	0.002	1.3 (0.9–2.1)	0.14	
Total intracranial volume, 0.1 L higher	1.1 (0.7–1.5)	0.71	1.1 (0.7–2.0)	0.64	

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individuals had a higher APOE  $\epsilon$ 4 frequency of 43%, suggesting that the ALT cohort is heterogeneous in regards to the risk of developing AD but that the risk will be higher in those who start off with higher A $\beta$  PET burden.

Sex differences in brain structure and function as well as a higher prevalence of dementia in women make sex an increasingly important consideration in AD research.<sup>29</sup> We did not observe clear sex differences between ALT and biomarker AD but larger samples will be needed to clarify the association if effect sizes are moderate or if sex modifies the effect of other factors such as *APOE*.<sup>30</sup>

In assessment of the longitudinal trajectory of the ALT individuals, it was clear that the risk of developing dementia remained low over the 5 years after the first Aß PET scan and even up to 8 years after in some individuals. The tau PET SUVR in 1 ALT individual did eventually increase, climbing to a 30% posterior probability of elevated tau more than 7 years after the first  $A\beta$ -positive PET scan. This person remained cognitively normal. One of the ALT individuals had dementia at the baseline A $\beta$ -positive PET and a further 7 individuals developed dementia during the course of follow-up. However, none of these individuals showed an elevated tau PET profile and so the biological underpinnings of their dementia are unclear. There was no particular relationship between those who developed dementia and age, and only one of them was an APOE  $\varepsilon$ 4 carrier. The median Centiloid at the first A $\beta$ positive scan was 43 vs 35 for those who did not obtain a dementia diagnosis. MCI was observed in 40% of the ALT cohort at first positive Aß PET, and MCI developed in a handful of individuals who were cognitively normal at baseline. However, we also had individuals who reverted from a diagnosis of MCI back to cognitively normal, and even one from a diagnosis of dementia to normal, a phenomenon that has been previously reported.<sup>31</sup> It is possible that the individuals with dementia or MCI have another non-Aß neurodegenerative disease, such as diffuse Lewy body disease or TDP-43 proteinopathy; a nonpaired helical filament tauopathy, such as diffuse argyrophilic grain disease; or a nonneurodegenerative disease, such as vascular disease.<sup>32-37</sup>

However, we cannot rule out the fact that these individuals may have low levels of paired helical filament tau in the brain despite normal tau PET levels, as it is clear that tau PET is not sensitive enough to detect low levels of neurofibrillary tangle pathology.<sup>38-41</sup>

It remains unclear whether and how many of the individuals in the ALT group will go on to develop high tau uptake and eventually meet criteria for biomarker-confirmed AD. Followup in this cohort was relatively long, up to 8 years in many cases, but the development of tau deposition could take longer in some cases. One study that assessed longitudinal Aß PET suggested that it could take 14 years from the first detection of A $\beta$  on PET to the onset of MCI,<sup>42</sup> and another study suggested it could be up to 19 years between the first detection of A $\beta$  and the first detection of tau on PET.<sup>43</sup> However, the individuals in our study may have already been Aβ-positive for many years, perhaps even for 20 years before entering ADNI. These findings have important implications for studies and clinical treatment trials that define preclinical AD based on Aß PET positivity. Clinical treatment trials that aim to enrich their cohort with individuals who are likely to develop tau deposition and hence AD within 5 years of trial enrollment should select individuals with higher Centiloid values and smaller hippocampal volumes; this would likely also enrich for APOE  $\varepsilon$ 4 carriers. Our findings also beg the question of whether the current AB PET cutpoints need to be refined, and increased, to truly capture preclinical AD. A previous study came to a similar conclusion and suggested that recruiting individuals into trials with a Centiloid of 68 or higher would be appropriate to enrich for people who will likely show tau accumulation over time.<sup>44</sup> In our analysis, a Centiloid of 50 was sensitive and specific for distinguishing between biomarker AD and ALT. The choice of cutpoint should, however, depend on the context, with higher cutpoints most appropriate for clinical trials targeting tau and lower cutpoints most appropriate when more inclusiveness is required. The inclusion criteria for our study of 23 Centiloid units is already higher than the cutpoint range of between 15 and 18.5 that has been proposed as optimal to predict future Aβ accumulation and cognitive decline.<sup>45</sup> Whereas these results from ADNI, a convenience sample, may not generalize to the entire population,<sup>46</sup> the study protocols in ADNI were designed to mirror recruitment mechanisms in clinical treatment trials.

The findings of our study are strengthened by the fact that we required ALT individuals to have at least 5 years of follow-up since the A $\beta$  PET, and many individuals had follow-up for 8 years. Further follow-up will be necessary to determine the fate of these individuals, specifically which participants may still go on to develop biomarker AD and dementia. Our definition of tau positivity was based on a clustering approach that examined tau uptake in 7 regions with no one region particularly

important in the classification. We used an extremely conservative cutpoint to define tau-negative or low individuals by requiring that individuals have less than 1% posterior probability of being in the high tau cluster, which was based on 7 of the most sensitive regions for tau deposition. The ALT and biomarker AD individuals were included in the training dataset as it was not possible to exclude them up front without a means to determine whether the tau PET was positive or negative.

Our definition of biomarker AD was designed to be extremely sensitive and prevent false-negatives whereby an individual with some indications of abnormal tau PET was called



(A) Clinical diagnosis over time for 45 amyloid+ with low tau (ALT) cases numbered sequentially. (B) Posterior probability of elevated tau over time for a subset of 23 individuals identified by ALT case number who had more than 1 tau PET scan at least 5 years after the first abnormal amyloid scan. For both panels, zero on the X-axis corresponds to the time of the individual's first abnormal β-amyloid (Aβ) scan. CN = cognitively normal; MCI = mild cognitive impairment.

normal. A consequence of this definition is that the biomarker AD group includes individuals with a low posterior probability of abnormal tau, that is, any value >1%. If we compare ALT to a biomarker AD group consisting only of individuals with >99% posterior probability of abnormal tau-essentially contrasting the 2 extremes-the differences in terms of APOE, Centiloid, and hippocampal volume increase. In particular, in this case APOE  $\varepsilon$ 4+ is associated with more than a 9-fold increase in the odds of biomarker AD. However, we do not think it is appropriate to omit potentially equivocal cases from our analysis. Although our study could be considered limited by the lack of autopsy confirmation, in reality, biomarker defined AD is based on PET and CSF, not pathologic confirmation. Whereas in this study we focused on PET, it will be interesting for future studies to examine whether a similar ALT group is identified with CSF or blood biomarkers because although they have good concordance with PET<sup>47,48</sup> it is possible they could yield different results. The relatively limited tau PET follow-up currently available in ADNI, and hence the small number of transitions occurring between normal and abnormal tau levels, meant a survival analysis or time-to-event approach was not feasible but this approach could prove powerful in the future.

We have demonstrated that a significant proportion of  $A\beta$ -positive individuals have absent or low tau burden at least 5 years out with characteristics that differ from those who have biomarker-define AD. The findings have important consequences to the entire AD field ranging from diagnosis to clinical trials.

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